



## Financial Documents Checklist

You will need to provide all relevant information pertaining to your current financial situation. This checklist should help you put together all of the financial documents you have, which we will need to design an accurate plan for you. Your financial plan will only be as accurate as the information you provide. Please include any other financial information that is not on the list below. For the following, check the applicable boxes and please provide the supporting documentation such as most recent statement and/or policy/contract.

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|---|--|
| <input type="checkbox"/> Internet logins for all financial accounts                               | <input type="checkbox"/> Health/Dental/Vision insurance policies               |
| <input type="checkbox"/> Income tax returns for the last three years                              | <input type="checkbox"/> Medicare supplement policies                          |
| <input type="checkbox"/> Two most recent pay stubs  | <input type="checkbox"/> Disability insurance policies                         |
| <input type="checkbox"/> Social security statements   | <input type="checkbox"/> Auto insurance policies                               |
| <input type="checkbox"/> Pension statements   | <input type="checkbox"/> Homeowner/Renter's insurance policies                 |
| <input type="checkbox"/> Deferred compensation arrangements                                       | <input type="checkbox"/> Umbrella liability policies                           |
| <input type="checkbox"/> Other statements of income (rental, annuity, trust, etc.)                | <input type="checkbox"/> Long-Term care policies                               |
| <input type="checkbox"/> Checking accounts  | <input type="checkbox"/> Professional liability policies                       |
| <input type="checkbox"/> Savings accounts   | <input type="checkbox"/> Other insurance                                       |
| <input type="checkbox"/> Money market accounts  | <input type="checkbox"/> Wills   |
| <input type="checkbox"/> CD's   | <input type="checkbox"/> Trust documents                                       |
| <input type="checkbox"/> Savings bonds  | <input type="checkbox"/> Powers of attorney                                    |
| <input type="checkbox"/> Brokerage & mutual fund accounts   | <input type="checkbox"/> Living will   |
| <input type="checkbox"/> Stock options  | <input type="checkbox"/> Property appraisals                                   |
| <input type="checkbox"/> Restricted stock plans   | <input type="checkbox"/> Credit reports  |
| <input type="checkbox"/> Other investment accounts  | <input type="checkbox"/> Credit card statements                                |
| <input type="checkbox"/> Employer sponsored retirement plans (401k, 403b, 457, SEP, SIMPLE, etc.) | <input type="checkbox"/> Auto loan statements                                  |
| <input type="checkbox"/> Traditional IRA accounts   | <input type="checkbox"/> Mortgage & home equity loan/line of credit statements |
| <input type="checkbox"/> Roth IRA accounts  | <input type="checkbox"/> Property tax documents                                |
| <input type="checkbox"/> IRA Custodial Agreements   | <input type="checkbox"/> Student loan statements                               |
| <input type="checkbox"/> Employer Retirement Plan Document/Summary Plan Description               | <input type="checkbox"/> Other business or personal debt statements            |
| <input type="checkbox"/> 529, Coverdell ESA, UTMA/UGMA savings                                    | <input type="checkbox"/> Employee benefits booklets                            |
| <input type="checkbox"/> Annuity contracts  | <input type="checkbox"/> Partnership & buy/sell agreements                     |
| <input type="checkbox"/> Life insurance policies  | <input type="checkbox"/> Pre/Post nuptial agreements                           |
|   | <input type="checkbox"/> Beneficiary Forms                                     |